

CPSC Monthly Progress Report for Corrective Action Plans (CAP)**Case Number:** RP140448

Reporting Dates: 11/13/2014 to 11/30/2014 **Compliance Officer:** Sheela Kadambi
Recalling Firm: Daesung Celtic Enersys **Product:** Water Heaters

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	765	442	442
With Distributor/dealer:	Unknown	358	358
With Consumers:	27,594	0*	0*
TOTAL:	28,717		800

* 794 repair kits shipped to consumers for in-home repair by service contractor technicians.

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall 1 (unconfirmed/pending confirmation)
Incidents	40	
Injuries	0	0
Death	0	

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
Email: # 0
Regular Mail: # 5,383

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 1,199
Email: # 181
Regular Mail: # 0

Is the recall currently posted on your homepage? Yes _____, If no, explain: _____

How many website hits did your Firm have this reporting period for this recall? # 1,806

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	# _____	# of shares: _____	# of Likes: _____
Twitter:	# _____	# of Re-Tweets: _____	# of Twitter Followers: _____
Other:	# _____	Explain: _____	
Ad Placements:	# _____	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:
jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000001**EXHIBIT D**

CPSC Monthly Progress Report for Corrective Action Plans (CAP)**Case Number:** RP140448

Reporting Dates: 12/1/2014 to 12/31/2014 **Compliance Officer:** Sheela Kadambi
Recalling Firm: Daesung Celtic Energys **Product:** Water Heaters

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>130</u>	<u>572</u>
With Distributor/dealer:	<u>740*</u>	<u>382</u>	<u>740</u>
With Consumers:	<u>27,212</u>	<u>1,464</u>	<u>1,464</u>
TOTAL:	<u>28,717</u>		<u>2,776</u>

* Estimate

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>4**</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
Email: # 0
Regular Mail: # 9

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 3,782
Email: # 446
Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: _____How many website hits did your Firm have this reporting period for this recall? # 2,342

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	<u>#</u>	# of shares: _____	# of Likes: _____
Twitter:	<u>#</u>	# of Re-Tweets: _____	# of Twitter Followers: _____
Other:	<u>#</u>	Explain: _____	
Ad Placements:	<u>#</u>	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:
jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**These were reported incidents involving unrepairs units; damage occurred to the units only; units will be replaced under warranty.

Daesung-000002

CPSC Monthly Progress Report for Corrective Action Plans (CAP)**Case Number:** RP140448Reporting Dates: 1/1/2015 to 1/31/2015 Compliance Officer: Sheela KadambiRecalling Firm: Daesung Celtic Energys Product: Water Heaters**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0*</u>	<u>765</u>
With Distributor/dealer:	<u>882*</u>	<u>142</u>	<u>882**</u>
With Consumers:	<u>27,212</u>	<u>2,674</u>	<u>4,138</u>
TOTAL:	<u>28,717</u>		<u>5,785</u>

* 442 corrections in Nov.; 323 in December

** Estimate

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>4</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0Email: # 0Regular Mail: # 0*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 2,150Email: # 428Regular Mail: # 0Is the recall currently posted on your homepage? Yes If no, explain: _____How many website hits did your Firm have this reporting period for this recall? # 1,467

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # # of shares: _____ # of Likes: _____Twitter: # # of Re-Tweets: _____ # of Twitter Followers: _____Other: # Explain: _____Ad Placements: # Explain: _____Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:
jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000003

CPSC Monthly Progress Report for Corrective Action Plans (CAP)**Case Number:** RP140448

Reporting Dates: 2/1/2015 to 2/28/2015 **Compliance Officer:** Sheela Kadambi
Recalling Firm: Daesung Celtic Enersys **Product:** Water Heaters

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>946*</u>	<u>64</u>	<u>946*</u>
With Consumers:	<u>27,212</u>	<u>765</u>	<u>4,903</u>
TOTAL:	<u>28,717</u>	<u>829</u>	<u>6,614</u>

* Estimate

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall Under review
Incidents	<u>40</u>	<u>Under review</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
Email: # 0
Regular Mail: # 0*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 1,585
Email: # 192
Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: _____How many website hits did your Firm have this reporting period for this recall? # 790

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	<u>#</u>	# of shares: _____	# of Likes: _____
Twitter:	<u>#</u>	# of Re-Tweets: _____	# of Twitter Followers: _____
Other:	<u>#</u>	Explain: _____	
Ad Placements:	<u>#</u>	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:
jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000004

CPSC Monthly Progress Report for Corrective Action Plans (CAP)

Case Number: RP140448

Reporting Dates: 3/1/2015 to 3/31/2015 Compliance Officer: Sheela Kadambi
 Recalling Firm: Daesung Celtic Energys Product: Water Heaters

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>974*</u>	<u>28</u>	<u>974</u>
With Consumers:	<u>27,212</u>	<u>661</u>	<u>5,564</u>
TOTAL:	<u>28,717</u>	<u>689</u>	<u>7,303</u>

* Estimate

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>13</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
 Email: # 0
 Regular Mail: # 0*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 926
 Email: # 88
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: _____How many website hits did your Firm have this reporting period for this recall? # 469

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	<u>#</u>	# of shares:	<u>_____</u>	# of Likes:	<u>_____</u>
Twitter:	<u>#</u>	# of Re-Tweets:	<u>_____</u>	# of Twitter Followers:	<u>_____</u>
Other:	<u>#</u>	Explain:	<u>_____</u>		
Ad Placements:	<u>#</u>	Explain:	<u>_____</u>		

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:
jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000005

CPSC Monthly Progress Report for Corrective Action Plans (CAP)**Case Number:** RP140448Reporting Dates: 4/1/2015 to 4/30/2015 Compliance Officer: Sheela KadambiRecalling Firm: Daesung Celtic Energys Product: Water Heaters**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	765	0	765
With Distributor/dealer:	1,220*	246	1,220
With Consumers:	26,732*	501	6,065
TOTAL:	28,717	747	8,050

* Estimate

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	40	2
Injuries	0	0
Death	0	0

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
 Email: # 0
 Regular Mail: # 0*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 559
 Email: # 68
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes _____, If no, explain: _____

How many website hits did your Firm have this reporting period for this recall? # 78

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	# <u> </u>	# of shares: <u> </u>	# of Likes: <u> </u>
Twitter:	# <u> </u>	# of Re-Tweets: <u> </u>	# of Twitter Followers: <u> </u>
Other:	# <u> </u>	Explain: _____	
Ad Placements:	# <u> </u>	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:
jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000006

CPSC Monthly Progress Report for Corrective Action Plans (CAP)

Case Number: RP140448

Reporting Dates: 5/1/2015 to 5/31/2015 Compliance Officer: Sheela Kadambi
 Recalling Firm: Daesung Celtic Energys Product: Water Heaters

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	765	0	765
With Distributor/dealer:	1,220*	0	1,220
With Consumers:	26,732*	340	6,405
TOTAL:	28,717	340	8,390

* Estimate

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	40	0
Injuries	0	0
Death	0	0

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
 Email: # 0
 Regular Mail: # 0*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 335
 Email: # 46
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes _____, If no, explain: _____

How many website hits did your Firm have this reporting period for this recall? # 55

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	# _____	# of shares: _____	# of Likes: _____
Twitter:	# _____	# of Re-Tweets: _____	# of Twitter Followers: _____
Other:	# _____	Explain: _____	
Ad Placements:	# _____	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No ~ Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:
jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000007

CPSC Monthly Progress Report for Corrective Action Plans (CAP)**Case Number:** RP140448

Reporting Dates: <u>6/1/2015</u> to <u>6/30/2015</u>	Compliance Officer: <u>Sheela Kadambi</u>
Recalling Firm: <u>Daesung Celtic EnerSys</u>	Product: <u>Water Heaters</u>

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>502</u>	<u>6,907</u>
TOTAL:	<u>28,717</u>	<u>502</u>	<u>8,892</u>

* Estimate

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>0</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
 Email: # 0
 Regular Mail: # 0*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 223
 Email: # 36
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: _____How many website hits did your Firm have this reporting period for this recall? # 143

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	<u>#</u>	# of shares: _____	# of Likes: _____
Twitter:	<u>#</u>	# of Re-Tweets: _____	# of Twitter Followers: _____
Other:	<u>#</u>	Explain: _____	
Ad Placements:	<u>#</u>	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:

jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000008

CPSC Monthly Progress Report for Corrective Action Plans (CAP)
Case Number: RP140448

Reporting Dates: 8/1/2015 to 8/31/2015	Compliance Officer: Sheela Kadambi
Recalling Firm: Daesung Celtic Enersys	Product: Water Heaters

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	765	0	765
With Distributor/dealer:	1,220*	0	1,220
With Consumers:	26,732*	83	7,498
TOTAL:	28,717	83	9,483

* Estimate

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	40	1
Injuries	0	0
Death	0	0

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
Email: # 0
Regular Mail: # 0

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 192
Email: # 22
Regular Mail: # 0

Is the recall currently posted on your homepage? Yes _____, If no, explain: _____

How many website hits did your Firm have this reporting period for this recall? # 170

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	# _____	# of shares: _____	# of Likes: _____
Twitter:	# _____	# of Re-Tweets: _____	# of Twitter Followers: _____
Other:	# _____	Explain: _____	
Ad Placements:	# _____	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:

jsmlth@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000009

CPSC Monthly Progress Report for Corrective Action Plans (CAP)

Case Number: RP140448

Reporting Dates: 9/1/2015 to 9/30/2015 Compliance Officer: Sheela Kadambi
 Recalling Firm: Daesung Celtic Enerysys Product: Water Heaters

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>22</u>	<u>7,520</u>
TOTAL:	<u>28,717</u>	<u>22</u>	<u>9,505</u>

* Estimate

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>2</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
 Email: # 0
 Regular Mail: # 0*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 212
 Email: # 23
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: _____How many website hits did your Firm have this reporting period for this recall? # 145

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	<u>#</u>	# of shares: _____	# of Likes: _____
Twitter:	<u>#</u>	# of Re-Tweets: _____	# of Twitter Followers: _____
Other:	<u>#</u>	Explain: _____	
Ad Placements:	<u>#</u>	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:
jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000010

CPSC Monthly Progress Report for Corrective Action Plans (CAP)**Case Number:** RP140448

Reporting Dates: 10/1/2015 to 10/31/2015 **Compliance Officer:** Sheela Kadambi
Recalling Firm: Daesung Celtic EnerSys **Product:** Water Heaters

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	765	0	765
With Distributor/dealer:	1,220*	0	1,220
With Consumers:	26,732*	78	6,437
TOTAL:	28,717	78	8,422**

* Estimate

** Reflects corrections from previous months' tabulations.

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	40	0
Injuries	0	0
Death	0	0

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
Email: # 0
Regular Mail: # 0*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 284
Email: # 64
Regular Mail: # 0

Is the recall currently posted on your homepage? Yes _____, If no, explain: _____

How many website hits did your Firm have this reporting period for this recall? # 257

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	# _____	# of shares: _____	# of Likes: _____
Twitter:	# _____	# of Re-Tweets: _____	# of Twitter Followers: _____
Other:	# _____	Explain: _____	
Ad Placements:	# _____	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:

jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000011

CPSC Monthly Progress Report for Corrective Action Plans (CAP)**Case Number:** RP140448

Reporting Dates: 11/1/2015 to 11/30/2015 **Compliance Officer:** Sheela Kadambi
Recalling Firm: Daesung Celtic Energys **Product:** Water Heaters

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	765	0	765
With Distributor/dealer:	1,220*	0	1,220
With Consumers:	26,732*	78	6,515
TOTAL:	28,717	78	8,500

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	40	2
Injuries	0	0
Death	0	0

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
Email: # 0
Regular Mail: # 0

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 297
Email: # 21
Regular Mail: # 0

Is the recall currently posted on your homepage? Yes _____ If no, explain: _____

How many website hits did your Firm have this reporting period for this recall? # 231

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	# _____	# of shares: _____	# of Likes: _____
Twitter:	# _____	# of Re-Tweets: _____	# of Twitter Followers: _____
Other:	# _____	Explain: _____	
Ad Placements:	# _____	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:

jsml@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000012

CPSC Monthly Progress Report for Corrective Action Plans (CAP)

Case Number: RP140448

Reporting Dates: 12/1/2015 to 12/31/2015 Compliance Officer: Sheela Kadambi
 Recalling Firm: Daesung Celtic Enerys Product: Water Heaters

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>310</u>	<u>6,825</u>
TOTAL:	<u>28,717</u>	<u>310**</u>	<u>8,810</u>

* Estimate
 ** Reflects adjustment from previous month.

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>0</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
 Email: # 0
 Regular Mail: # 0

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 262
 Email: # 32
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes _____ If no, explain: _____

How many website hits did your Firm have this reporting period for this recall? # 269

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	# <u> </u>	# of shares: _____	# of Likes: _____
Twitter:	# <u> </u>	# of Re-Tweets: _____	# of Twitter Followers: _____
Other:	# <u> </u>	Explain: _____	
Ad Placements:	# <u> </u>	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:

jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000013

CPSC Monthly Progress Report for Corrective Action Plans (CAP)**Case Number:** RP140448

Reporting Dates: 1/1/2016 to 1/31/2016 **Compliance Officer:** Sheela Kadambi
Recalling Firm: Daesung Celtic Enersys **Product:** Water Heaters

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>91</u>	<u>6,916</u>
TOTAL:	<u>28,717</u>	<u>91</u>	<u>8,901</u>

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>0</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
Email: # 0
Regular Mail: # 0

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 322
Email: # 40
Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: _____How many website hits did your Firm have this reporting period for this recall? # 293

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	# <u> </u>	# of shares: <u> </u>	# of Likes: <u> </u>
Twitter:	# <u> </u>	# of Re-Tweets: <u> </u>	# of Twitter Followers: <u> </u>
Other:	# <u> </u>	Explain: _____	
Ad Placements:	# <u> </u>	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:

Jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000014

CPSC Monthly Progress Report for Corrective Action Plans (CAP)

Case Number: RP140448

Reporting Dates: 2/1/2016 to 2/29/2016 **Compliance Officer:** Sheela Kadambi
Recalling Firm: Daesung Celtic Energys **Product:** Water Heaters

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>95</u>	<u>7,011</u>
TOTAL: * Estimate	<u>28,717</u>	<u>95</u>	<u>8,996</u>

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>0</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
Email: # 0
Regular Mail: # 0

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 261
Email: # 40
Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: _____How many website hits did your Firm have this reporting period for this recall? # 269

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	<u>#</u>	# of shares: _____	# of Likes: _____
Twitter:	<u>#</u>	# of Re-Tweets: _____	# of Twitter Followers: _____
Other:	<u>#</u>	Explain: _____	
Ad Placements:	<u>#</u>	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:
jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000015

CPSC Monthly Progress Report for Corrective Action Plans (CAP)**Case Number:** RP140448**FINAL REPORT**

Reporting Dates: 3/1/2016 to 3/31/2016 **Compliance Officer:** Sheela Kadambi
Recalling Firm: Daesung Celtic Energys **Product:** Water Heaters

I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	765	0	765
With Distributor/dealer:	1,220*	0	1,220
With Consumers:	26,732*	138	7,149
TOTAL:	28,717	138	9,134

II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	40	0
Injuries	0	0
Death	0	0

III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0
Email: # 0
Regular Mail: # 0

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 177
Email: # 27
Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: _____

How many website hits did your Firm have this reporting period for this recall? # 185

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook:	# _____	# of shares: _____	# of Likes: _____
Twitter:	# _____	# of Re-Tweets: _____	# of Twitter Followers: _____
Other:	# _____	Explain: _____	
Ad Placements:	# _____	Explain: _____	

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites Yes / No – Describe action taken: _____

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:
jsml1@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000016